



## PhilEquity Management, Inc.

### Investor Risk Profiling Questionnaire

Client Name: \_\_\_\_\_

The following questionnaire is designed to help you understand your capacity and willingness to accept investment risk.

Your answers to these 8 questions will help us determine which funds are suitable for your risk appetite and investment objectives.

1. What is your age?

- 20 – 35                       36 - 50                       51 - 65                       > 65

2. What is your occupation?

- Employee                       Entrepreneur                       Private Income                       Retiree

3. Of your total liquid assets, what percentage does the amount you plan on investing represent?

- < 25%                       25% - 50%                       50% - 75%                       > 75%

4. When you invest money, what is your primary goal?

- Preserve the value of my investments. I want to minimize the risk of investments losing value.
- Generate regular cash inflows. However, I would also like to build the value of my investments gradually over time, as a secondary objective.
- Have the value of my investments grow over time. However, I would also like to generate some regular cash inflows, as a secondary objective.
- Have the value of my investments grow substantially over time. I do not need to generate regular cash inflows.

5. When is the earliest you anticipate needing all or a substantial portion of your investment assets?

- < 1 year                       1 to 2 years                       2 to 5 years                       > 5 years

6. In the past, which of the following products or instruments have you invested in (select all)?

- |   |  |   |                                       |
|---|--|---|---------------------------------------|
| <input type="checkbox"/> Time Deposits      | <input type="checkbox"/> Peso government securities      | <input type="checkbox"/> Dollar government or corporate bonds | <input type="checkbox"/> Stocks       |
| <input type="checkbox"/> Money Market funds | <input type="checkbox"/> Peso corporate bonds            | <input type="checkbox"/> Dollar bond UITFs or mutual funds    | <input type="checkbox"/> Equity UITFs |
|   | <input type="checkbox"/> Peso bond UITFs or mutual funds |   | <input type="checkbox"/> Derivatives  |



**PhilEquity Management, Inc.**

7. With how much investment volatility (fluctuations in the value of your investment) are you comfortable?

- As little as possible. I want to focus on current income and stability of value even if it means that my total returns are relatively small
- Some. I am willing to accept occasional losses in value as long as my investments have some potential for growth over time
- Moderate. I am willing to take moderate risk as long as my investments have a greater potential for growth over time
- A considerable amount. I am willing to take substantial risk in pursuit of higher total returns

8. How large a drop in the value of your investment portfolio (unrealized or paper loss) would you be prepared to see before you start feeling very uncomfortable?

- < 25%       25% to 50%       50% to 75%       > 75%

**Thank you for taking the time out to answer this questionnaire. The information you provided will be treated with the utmost confidentiality.**

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Customer Signature Over Printed Name

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Date

Total Score	Risk Category
25 to 49	Conservative (Low)
50 to 74	Balanced (Medium)
75 to 100	Aggressive (High)

**For Philequity Use Only**

Total Score: \_\_\_\_\_ Equivalent Risk Profile:  Low  Med  High  
 Existing Client:  Yes  No  
 Referred by: \_\_\_\_\_  
 BDO: \_\_\_\_\_